

**PHYTOCHEMISTRY INVESTIGATION OF *Casearia arborea* (RICH.) URB. (SALICACEAE) AND ANTIMICROBIAL ANALYSIS OF ITS DITERPENE**

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A phytochemical investigation from aerial parts of *Casearia arborea* (Rich.) Urb. (Salicaceae) led to isolation and identification of: sitosterol, 4-en-stigmast-3-one, 13-hydroxy-*trans-ent*-cleroda-3,14-diene, 3-hydroxy-2-oxo-*trans-ent*-cleroda-3,14-diene (kolavelone), a mixture of 13-hydroxy-*trans-ent*-cleroda-3,14-diene and an ester ethyl hexadecanoate, kaempferol-3-O- $\alpha$ -L-arabinofuranoside and 4',5,7-trihydroxy-3',5'-dimethoxyflavone (tricin). The compounds have been described for the first time in this species. Five trihydroxy-flavone-hexoside derivatives have been identified by LC-ESI-HR-MS. The antimicrobial activity of kolavelone was evaluated against strains of *Staphylococcus*, *Bacillus*, *Pseudomonas*, *Shigella* and *Candida* spores, and its minimum inhibitory concentration (MIC) was determined. The results showed the antimicrobial activity of kolavelone against several bacteria and *Candida tropicalis* indicating its potential use as antimicrobial agent.

Keywords: *Casearia arborea*; Salicaceae; kolavelone; antimicrobial activity.

## INTRODUCTION

Species on the family Salicaceae are known for producing a great number of bioactive substances.<sup>1</sup> Some of its relevant compounds are lignins, terpenoids, coumarins, alkaloids, saponins and flavonoids.<sup>2,3</sup> Anti-inflammatory and antibacterial activities are reported for Salicaceae species.<sup>4,5</sup>

The *Casearia* genus belongs to the family Salicaceae and the secondary metabolites of this genus include terpenoids, flavonoids, glycosides and phenylpropanoids. The literature reports the identification of 287 different types of compounds from this genus. Clerodane diterpenes seem to be the predominant group of metabolites in *Casearia*, many of them recently described for the first time. Isolated compounds and extracts obtained from *Casearia* species have shown several biological properties, including antibacterial, antiviral, cytotoxicity against tumor cells, hypoglycemic, antiophidic, antiulcerogenic and anti-inflammatory activities.<sup>4,6</sup>

*Casearia arborea* (Rich.) Urb. (Salicaceae) is widely used as source of wood to be used in house construction. The species occurs from Guatemala to Brazil, being popularly known as “*pau de pico*”, “*imbuí amarelo*” or “*canela*”. This paper describes the secondary metabolites obtained from the species and the evaluation of antimicrobial potential of kolavelone. A great number of studies report the antimicrobial activity of diterpenes,<sup>7-9</sup> including clerodane type.<sup>6,10</sup> justifying the interest on kolavelone antimicrobial potential.

## EXPERIMENTAL

### Plant material

Aerial parts of *Casearia arborea* (Rich.) Urb. was collected in Porto Grande - Amapá State (Brazil's North) and identified by the researcher Rosângela do Socorro F. R. Sarquis (Amapá State Institute of Technologic and Scientific Research – IEPA). A voucher specimen of plant was deposited at IEPA's Herbarium (number 2304).

### Extraction and isolation of compounds

Column chromatographic (CC) has been developed using glass columns packed with silica gel 60 (Merck) 7734 (0.063-0.2 mm, 70-230 mesh) and silica gel 60 (Macherey-Nagel, particles with 0.04-0.063 mm), gel filtering chromatography (CGF) was performed using Sephadex LH-20. Thin layer chromatography (TLC) and preparative thin layer chromatography (PTLC) were carried on of silica gel PF254 plates and spots were visualized under UV light (254-366 nm) and by iodine exposure.

The plant material was dried in an oven at 40 °C for 96 hours. After milling, 1.500 g of stem powder and 650 g of leaves and flowers powder were macerated separately in ethanol 95% for 72 hours. Ethanolic extracts obtained were concentrated in a rotary evaporator, yielding 100 g of crude stem extract (CSE) and 80 g of crude leaves and flowers extracts (CLFE). Both of them were submitted to vacuum liquid chromatography (VLC) under with silica gel 60 (Merck) 7734, using as mobile phase hexane, ethyl acetate (EtOAc) and methanol (MeOH), pure or mixtures binary.

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The hexane fraction (1.90 g) of CSE was subjected to column chromatography using silica gel eluted with hexane and EtOAc in increasing polarity mixtures, resulting 46 fractions of 50 mL each. The fractions were combined by TLC. Fraction 21-27 (0.807 g) were pure when analyzed in TLC, being named as compound **4**. Fraction hexane-EtOAc (9:1) (3.52 g) from the VLC was subjected to CC with silica gel using the same solvent system, obtaining 123 fractions combined by TLC analyzes. Fraction 47-50 resulted 0.101 g of a precipitate which was filtrated, being named as compound **1**. Fraction 30 (0.756 g) was chromatographed in silica gel column using as eluents hexane and ethyl acetate in gradient mode collecting 71 fractions combined by TLC. Sub-fraction 38-58 (0.225 g) was rechromatographed in the same conditions, resulting in 31 fractions. From this procedure the combined fraction 3-10 was found to be pure compound (**3**) (0.113 g). From the same column, combined fractions 40-42 (323 g) have been chromatographed in silica gel, using hexane, dichloromethane and methanol as eluents, resulting the isolation of compound **2** (0,072 g).

The fraction hexane-EtOAc (1:1) (3.20 g) from VLC was submitted to silica gel column chromatography using hexane, ethyl acetate and methanol (gradient mode). The 186 collected fractions were analyzed and combined by TLC, purifying the compounds **5** (fractions 20-26; 0.037 g) and **6** (fractions 60-94; 0.007 g).

Fractions EtOAc-MeOH (9:1), EtOAc-MeOH (7:3) and EtOAc-MeOH (1:1) from leaves and flowers have been analyzed by TLC and combined due their similarities, obtaining the combined fractions named as fraction A (8.0 g). The fraction A was chromatographed in a XAD-2 column using as eluents water H<sub>2</sub>O, H<sub>2</sub>O:MeOH (1:1), MeOH, acetone, EtOAc and hexane. The fraction H<sub>2</sub>O:MeOH (1:1), (1.5 g) from this procedure was chromatographed on Sephadex LH-20 using a glass column (1,5 cm x 40 cm) eluted with methanol, obtaining 101 fractions. The combined fractions 24-31 (0.200 g) was chromatographed using the same methodology, resulting in 26 fractions. The combined sub-fraction 9-22 (0.048 g) was purified by PTLC eluted with EtOAc:Hex (8:2). Each line has been separated, extracted with MeOH:EtOAc: (1:1) and filtered to obtain the compounds **7** and a flavonoid rich fraction (flavonoid fraction), lately analyzed by LC-ESI-HR-MS.

### Identification of isolated compounds

The NMR spectra were obtained at: BRUKER-AC (CENAUREM/UFC) at 500 (<sup>1</sup>H) and 125 (<sup>13</sup>C), VARIAN-NMR SYSTEM at 500 (<sup>1</sup>H) and 125 (<sup>13</sup>C) and VARIAN-MERCURY at 200 (<sup>1</sup>H) and 50 MHz (<sup>13</sup>C) (LMCA/UFPB). Deuterated chloroform (CDCl<sub>3</sub>) and methanol (MeOD) were the solvents used. IR spectra were obtained through a Perkin-Elmer, FT-IR-1750 (Fourier Transform – Infra Red) and Shimadzu – IR Prestige 21 (LMCA/UFPB) device, using from 1.00 to 3.00 mg of samples in KBr pellet, with frequency measured in hertz.

### UPLC / Xevo-G2 XS QTOF analysis of flavonoids rich fraction

The XEVO-G2XSQTOF mass spectrometer (Waters, Manchester, UK) was connected to the ACQUITY UPLC system (Waters, Milford, MA, USA) via an electrospray ionization (ESI) interface. Chromatographic separation of flavonoids was performed on the ACQUITY UPLC with a conditioned autosampler at 4 °C, using an Acquity BEH C18 column (50 mm × 2.1 mm i.d., 1.7 µm particle size) (Waters, Milford, MA, USA). The column temperature was maintained at 40 °C. The mobile phase consisting of 0.1% formic acid in water (solvent A) and acetonitrile 0.1% formic acid (solvent B) was pumped at a flow rate of 0.4 mL min<sup>-1</sup>. The gradient elution program was 0-8min, 10-50% B; 0.8-9min, 50-95% B. The injection volume was 10 µL.

The XEVO-G2XSQTOF mass spectrometer was used in negative ESI mode, the scan range was from 50 to 1200 *m/z* for data acquisition using UPLC/MS<sup>E</sup>, which allows both precursor and product ion data to be acquired in one injection. The source conditions were set as: capillary voltage, 3.0 kV; sample cone, source temperature, 100 °C; desolvation temperature 250 °C; cone gas flow rate 20 L h<sup>-1</sup>; desolvation gas (N<sub>2</sub>) flow rate 600 L h<sup>-1</sup>. All analyses were performed using the lockspray, which ensured accuracy and reproducibility. Leucine-enkephalin (10 ng mL<sup>-1</sup>) was used as a standard or reference compound to calibrate mass spectrometers during analysis and introduced by a lockspray at 10 µL min<sup>-1</sup> for accurate mass acquisition. All the acquisition and analysis of data were controlled using Waters MassLynx v 4.1 software.

### Kolavelone (**5**) antimicrobial activity evaluation

The kolavelone solution was prepared in a sterilized test tube, 900 µg of kolavelone, 24 µL of TWEEN 80 (emulsifying agent) and enough quantity of sterilized distilled water (for 3 mL) were added. The solution was mixed for five minutes in a Vortex (FANEM/Brazil) tube stirrer, obtaining a final solution concentration of 300 µg mL<sup>-1</sup>. Serial distillations were performed using sterile liquid culture medium as diluent obtaining inferior concentrations.<sup>11</sup>

Twenty microorganism strains have been selected, divided in Gram-positive and Gram-negative bacteria and yeast-like fungi. Six of them have been obtained from the collection of Mycology Laboratory (ML), one from the Institute of Biomedical Sciences of São Paulo University (IBS-USP/SP) and 12 pattern strains from American Type Culture Collection (ATCC).

Test strains used were *Staphylococcus aureus* (ATCC 6538), *S. aureus* (ATCC 25923), *S. epidermidis* (ATCC 12228), *Bacillus subtilis* (ATCC 6633), *Pseudomonas aeruginosa* (ATCC 25853), *P. aeruginosa* (ATCC 9027), *Escherichia coli* (Classical C), *E. coli* (ATCC 18739), *E. coli* (ATCC 8733), *Shigella flexneri* (LM 412), *Candida albicans* (ATCC 90028), *C. albicans* (ATCC 76615), *C. albicans* (LM 142V), *C. albicans* (JCB 12), *C. tropicalis* (ATCC 13803), *C. tropicalis* (LM 028), *C. krusei* (ATCC 6258), *C. krusei* (LM 12), *C. guilliermondii* (LM 2101) and *C. guilliermondii* (LM 011). Stock bacteria strains were kept in Muller Hinton agar (MHA) and yeast was kept in Sabouraud dextrose agar (SDA) under refrigeration (8 °C).

Were used solid medium SDA and MHA, as well as Sabouraud dextrose broth (SDB) and Muller Hinton (MHB) (Difco Laboratories Ltd.) for yeast and bacteria, respectively. The preparation of medium followed manufacturer instructions. Bacteria were kept in MHA and yeast in SDA for a period of 24-48 hours at temperature of 37 °C. It was prepared and standardized in sterilized physiological solution (0.85%) containing TWEEN 80 (1%). Each suspension had its turbidity visually compared and adjusted to those presented by barium sulfate suspension of 0,5 McFarland scale, corresponding to approximately 10<sup>6</sup> CFU mL<sup>-1</sup>.<sup>12,13</sup>

Determination of MIC was performed through the microdilution method, using 96-well microplates with “U” shaped bottom (INLAB/Brazilian Industry).<sup>13,14</sup> In each cavity, 100 µL of double concentrated SDB or MHB were added. Then, 100 µL of double concentrate kolavelone were added in the cavities of first line. By serial dilution, concentrations from 300 µg mL<sup>-1</sup> to 9 µg mL<sup>-1</sup> were obtained. Finally, 10 µL of microbial species inoculum was added in the cavities. The following control solutions were used: TWEEN 80 (10% in distilled water); a control with chloramphenicol (Sigma-Aldrich®) regular antimicrobial (30 µg mL<sup>-1</sup>) for bacteria and ketoconazole (Sigma-Aldrich®) (50 µg mL<sup>-1</sup>) for yeasts.

The assay was performed in triplicated and incubated at 37 °C for 24 hours. After incubation time, 20 µL of sodic resazurine (Sigma-Aldrich®) in concentration of 0.01% (p/v) were added as a colorimetric indicator of oxide-reduction for bacteria. For fungi, it was add 20 µL of triphenyltetrazolium chloride (TTC) 1% (Sigma-Aldrich®), a colorimetric indicator of oxide-reduction for fungi.<sup>15,16</sup> After incubation at 37 °C, the results were obtained through visualization of color change in the cavities from blue to pink, on bacteria samples, and colorless to pink in fungal samples. This color change shows growth of microorganisms. MIC has been defined as the smallest concentration able to inhibit growing of microorganism.

## RESULTS AND DISCUSSION

### Identification of purified compounds

Seven compounds (Figure 1) were isolated and twelve identified from stems of *C. arborea*. Compounds **1** and **2** were isolated as a white powder precipitate soluble in chloroform. Their spectral data led to identify the compounds as sitosterol (**1**) and 4-en-stigmast-3-one (**2**).<sup>17</sup> Compound **3** was obtained as a yellow powder. The samples were analyzed by IR, NMR <sup>1</sup>H, NMR <sup>13</sup>C, COSY, NOESY, HMQC and HMBC, allowing to identify them as 13-hydroxy-*trans-ent-cleroda-3,14-diene* (kolavelool,**3**) and the compound 4 obtained as a yellow oil and identified as a mixture (43:57) because of the intensity of the signals between 13-hydroxy-*trans-ent-cleroda-3,14-diene* (kolavelool,**3**) and ethyl hexadecanoate (**4**).<sup>18,19</sup> Spectral data was shown at supplementary material.

Compound **5** was obtained as a green crystal. It was analyzed by IR, NMR <sup>1</sup>H, NMR <sup>13</sup>C and two-dimensional techniques, being identified as 13-hydroxy-2-oxo-*trans-ent-cleroda-3,14-diene* (kolavelone,**5**), a clerodane diterpene previously isolated in plants and sponges.<sup>20,21</sup> This is the first report of this substance on this family. Compound **6** was isolated as yellow powder and identified by spectral analysis as the flavone 4',5,7-trihydroxy-3',5'-dimethoxyflavone (tricin).<sup>22</sup>

The following substances have been isolated from the leaves and flowers of *C. arborea* (Figure 1). Compound **7** was isolated as a yellow powder, and after spectral analysis has been identified as kaempferol 3-O- $\alpha$ -L-arabinofuranoside,<sup>23</sup> isolated for the first time on *Casearia* genus.<sup>6</sup>

A flavonoid rich fraction (yellow powder) was analyzed by LC-ESI-HR-MS, identifying the compounds as a mixture of five trihydroxy-flavone-hexoside derivatives (Table 1).

### Antimicrobial evaluation of 13-hydroxy-2-oxo-*trans-ent-cleroda-3,14-diene* (**5**)

The antimicrobial potential of compound **5** was evaluated. Its MIC was determined through microdilution. The compound was considered active or inactive following the MIC criteria:

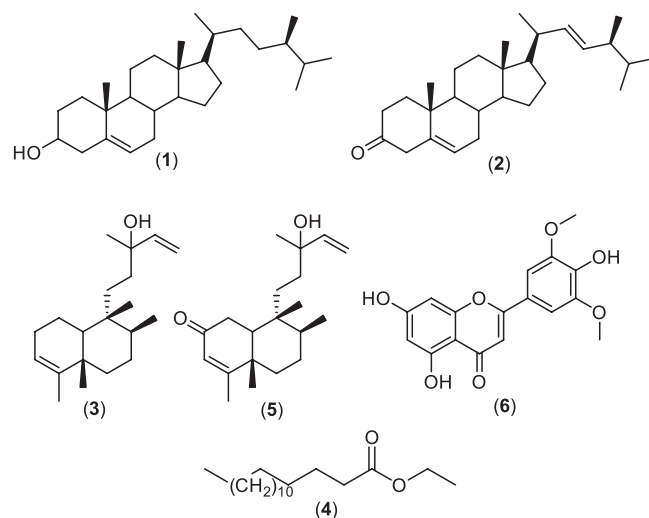


Figure 1. Isolated and identified compounds from *C. arborea* (Rich) Urb.

50-500 µg mL<sup>-1</sup> = strong/excellent activity; 600-1500 µg mL<sup>-1</sup> = moderate activity; over 1500 µg mL<sup>-1</sup> = weak activity or inactive product.<sup>24,25</sup>

Kolavelone (**5**) was found to be active against several bacteria strain, inhibiting bacterial growth at 300 µg mL<sup>-1</sup> (*S. aureus* ATCC-6538; *S. aureus* ATCC-25923; *S. epidermidis* ATCC-12228; *B. subtilis* ATCC-6633; *P. aeruginosa* ATCC-25853; *P. aeruginosa* ATCC-9027; *E. coli* (classical C); *E. coli* ATCC-18739; *E. coli* ATCC-8733; *S. flexneri* LM-412). *Staphylococcus aureus* ATCC-25923 was sensible to 150 µg mL<sup>-1</sup> of kolavelone, demonstrating its excellent antibacterial activity. Among the fungal strains, kolavelone (**5**) was active just against *Candida tropicalis* (*C. tropicalis* ATCC-13803; *C. tropicalis* LM-028) at a concentration of 150 µg mL<sup>-1</sup>, indicating its potential use as an antimicrobial agent (Table 2).

## CONCLUSION

The phytochemical investigation of *Casearia arborea* led to the identification of two steroids, two diterpenes, a mixture of a diterpene and a fatty acid, along with flavonoid and heteroside flavonoids, contributing to the knowledge about the secondary metabolites of the species and genus. Besides, the clerodane diterpene kolavelone presented antimicrobial activity against several bacteria and *Candida tropicalis*, indicating the potential of the molecule as a candidate for the development of new antimicrobial agents.

## SUPPLEMENTARY MATERIAL

Supplementary information, including NMR and MS spectra (Figures 1S-74S), are available free of charge at <http://quimicanova.s bq.org.br> as a PDF file.

Table 1. Characterization of compounds from flavonoids fraction of *C. arborea* (Rich) Urb. by UPLC/QTOF-MSE

Compound	Retention time (min)	$\lambda_{max}$ (nm)	[M-H] <sup>-</sup> (m/z)	Calculated	Identity
1	2.84	264.346	447.0939	447.0933	Trihydroxy-flavone-hexoside
2	3.01	264.345	447.0938	447.0933	Trihydroxy-flavone-hexoside
3	3.08	264.356	447.0937	447.0933	Trihydroxy-flavone-hexoside
4	3.18	264.356	447.0935	447.0933	Trihydroxy-flavone-hexoside
5	3.43	nd	461.1098	461.1089	Dihydroxy-methoxy-hexoside

**Table 2.** MIC values ( $\mu\text{g} / \text{mL}^{-1}$ ) of kolavelone (**5**) on bacteria and yeasts

Microorganisms	Compound <b>5</b>	Controls		
	MIC ( $\mu\text{g}/\text{mL}^{-1}$ )	Tween 80	Microorganisms	Antimicrobial
<i>S. aureus</i> ATCC – 6538	300	+	+	-
<i>S. aureus</i> ATCC – 25923	150	+	+	+
<i>S. epidermidis</i> ATCC– 12228	300	+	+	-
<i>B. subtilis</i> ATCC – 6633	300	+	+	-
<i>P. aeruginosa</i> ATCC – 25853	300	+	+	+
<i>P. aeruginosa</i> ATCC – 9027	300	+	+	+
<i>E. coli</i> (clássica C)	300	+	+	-
<i>E. coli</i> ATCC – 18739	300	+	+	+
<i>E. coli</i> ATCC – 8733	300	+	+	+
<i>S. flexneri</i> LM – 412	300	+	+	-
<i>C. albicans</i> ATCC – 90028	>300	+	+	-
<i>C. albicans</i> ATCC – 76615	>300	+	+	-
<i>C. albicans</i> LM – 142 V	>300	+	+	-
<i>C. albicans</i> ICB – 12	>300	+	+	-
<i>C. tropicalis</i> ATCC – 13803	150	+	+	+
<i>C. tropicalis</i> LM – 028	150	+	+	-
<i>C. krusei</i> ATCC – 6258	>300	+	+	+
<i>C. krusei</i> LM – 12	>300	+	+	-
<i>C. guilliermondii</i> LM – 2101	>300	+	+	-
<i>C. guilliermondii</i> LM – 011	>300	+	+	+

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